

**PATENT**

**Serial No.**

**Docket No. 2003P11954US01**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No. Not yet known  
Applicant Harold Jay Licht  
Filed Herewith  
Title SYSTEMS, DEVICES, AND METHODS FOR MOUNTING A LIGHT  
EMITTING DIODE  
Art Unit Not yet known  
Examiner Not yet known

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each foreign patent document and non-patent literature document is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The paragraphs marked below are applicable.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date or the date of filing a CPA, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed with a Request for Continued Examination (RCE). No certification or fee is required.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

☐ c. Please debit Deposit Account No. 19-2179 in the amount of \$240.00 in payment of the fee under 37 CFR §1.17(p) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☐ 4. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Please debit Deposit Account No. 19-2179 in the amount of \$130.00 in payment of the petition fee under 37 CFR §1.17(i)(1) to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.

☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

OPTIONAL INSERT PARAGRAPHS FOR INFORMATION DISCLOSURE STATEMENT  
(Please delete non-applicable paragraphs).

☐ 5. Relevance of the non-English language reference(s) is discussed in the present specification.

☐ 6. The reference(s) was/were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

☐ 7. A concise explanation of the relevance of the non-English language reference(s) appears in the Appendix attached hereto.

☐ 8. The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

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☐ 9. This application is one of a series of related applications, identified in the attached Appendix, which are directed to related technical subject matter. The identification of those U.S. Patent Applications is not to be construed as a waiver of secrecy as to those applications now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited applications and the art cited therein during the examination.

☐ 10. The reference(s) was/were cited by or submitted to the Office in parent application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

☐ 11. English-language Abstracts of the non-English language references are attached hereto.

☐ 12. Other.

Respectfully submitted,

Siemens Corporation

Date: \_\_\_\_\_

*10/23/03*

*Benjamin M. Rubin*

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Please type a plus sign (+) inside this box → +

PTO/1449

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br><i>(use as many sheets as necessary)</i> |                  | <b>Complete if Known</b><br><table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>Not yet known</td> </tr> <tr> <td>Filing Date</td> <td>Herewith</td> </tr> <tr> <td>First Named Inventor</td> <td>Harold Jay Licht</td> </tr> <tr> <td>Group Art Unit</td> <td>Not yet known</td> </tr> <tr> <td>Examiner Name</td> <td>Not yet known</td> </tr> <tr> <td>Attorney Docket Number</td> <td>2003P11954US01</td> </tr> </table> |   | Application Number | Not yet known | Filing Date | Herewith | First Named Inventor | Harold Jay Licht | Group Art Unit | Not yet known | Examiner Name | Not yet known | Attorney Docket Number | 2003P11954US01 |
| Application Number                                                                                                                            | Not yet known    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |                    |               |             |          |                      |                  |                |               |               |               |                        |                |
| Filing Date                                                                                                                                   | Herewith         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |                    |               |             |          |                      |                  |                |               |               |               |                        |                |
| First Named Inventor                                                                                                                          | Harold Jay Licht |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |                    |               |             |          |                      |                  |                |               |               |               |                        |                |
| Group Art Unit                                                                                                                                | Not yet known    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |                    |               |             |          |                      |                  |                |               |               |               |                        |                |
| Examiner Name                                                                                                                                 | Not yet known    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |                    |               |             |          |                      |                  |                |               |               |               |                        |                |
| Attorney Docket Number                                                                                                                        | 2003P11954US01   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |   |                    |               |             |          |                      |                  |                |               |               |               |                        |                |
| Sheet                                                                                                                                         | 1                | of                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 1 |                    |               |             |          |                      |                  |                |               |               |               |                        |                |

| U.S. PATENT DOCUMENTS |            |                                                 |                                       |
|-----------------------|------------|-------------------------------------------------|---------------------------------------|
| Examiner Initials     | Patent No. | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document |
|                       | 6,583,542  | Nagano                                          | 24 June 2003                          |
|                       | 6,386,733  | Ohkohdo                                         | 14 May 2002                           |
|                       | 4,781,960  | Wittes                                          | 1 November 1988                       |
|                       | 4,727,648  | Savage                                          | 1 March 1988                          |
|                       | 4,623,206  | Fuller                                          | 18 November 1986                      |
|                       | 3,461,408  | Onoc                                            | 12 August 1969                        |

| FOREIGN PATENT DOCUMENTS |               |                                                 |                                       |
|--------------------------|---------------|-------------------------------------------------|---------------------------------------|
| Examiner Initials        | Patent No.    | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document |
|                          | DE 4129056 A1 | VDO Adolf Schindling AG                         | 3 April 1993                          |

| NON PATENT LITERATURE DOCUMENTS |                                                                                                                                                                                                                                                                 |
|---------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Examiner Initials               | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
|                                 | "Application Brief AB10 – Luxeon™ Emitter Assembly Information", August 2003, pages 1 – 12, publication No. AB10, Lumileds Lighting, LLC, San Jose, CA.                                                                                                         |
|                                 | "Power Light Source – Luxeon™ V Portable", October 2002, pages 1 – 10, Document # DS40, Lumileds Lighting, LLC, San Jose, CA.                                                                                                                                   |
|                                 | "Luxeon™ - Electrical Drive Information Luxeon™ Emitter, Star, Star/C & Star/O", February 2002, pages 1 – 2, AB11, Lumileds Lighting, LLC, San Jose, CA.                                                                                                        |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.